

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

ATTORNEY DOCKET NUMBER: 100110474-2

IN RE APPLICATION OF: U.S. Patent No. 7,200,569

USPTO CONFIRMATION CODE: 9510

APPLICATION NO.: 09/995,318

FILED: Nov. 26, 2001

EXAMINER: F. Zeender

GROUP ART UNIT: 3627

FOR: INTELLIGENT APPARATUS, SYSTEM AND METHOD FOR FINANCIAL  
DATA COMPUTATION AND ANALYSIS

**37 CFR 1.322 & 37 CFR 1.323 REQUEST FOR CERTIFICATE OF CORRECTION**

HONORABLE COMMISSIONER OF PATENTS & TRADEMARKS

Sir:

The following is a request for a certificate of correction in Serial Number  
09/995,318, now Patent Number 7,200,569.

A certificate of correction under 35 USC 254 is respectfully requested in the  
above-identified patent.

☐ All errors were the fault of the USPTO, no fee required. In the event that a further  
fee is required, please charge the amount to Deposit Account No. 082025.

☐ All errors were the fault of the applicant and, accordingly, please charge **\$100.00**  
to our Deposit Account No. 082025. In the event that a further fee is required, please  
charge the amount to the same Deposit Account.

☒ The errors were the fault of both the applicant and USPTO and, accordingly,  
please charge **\$100.00** to our Deposit Account No. 082025. In the event that a further fee  
is required, please charge the amount to the same Deposit Account.

The exact locations where the errors appear in the patent and patent application  
are as follows:

On the face page, in field (75), in "Inventors", in column 1, lines 2-3, delete "Co. Spgs," and insert - - Colorado Springs - -, therefor.  
(Oath or Declaration filed on Apr. 22, 2002, page 2 of 2, 2<sup>nd</sup> inventor's residence, line 1)

On the face page, in field (75), in "Inventors", in column 1, line 5, delete "Arviso," and insert - - Arvizo, - -, therefor.  
(Oath or Declaration filed on Apr. 22, 2002, page 2 of 2, 4<sup>th</sup> inventor's name, line 1)

In column 23, line 67, delete "and/use" and insert - - and/or use - -, therefor.  
(Specification filed on Nov. 26, 2001, page 46, line 7)

In column 25, line 12, in Claim 1, after "second" delete ",".  
(Amendment to claims filed on Oct. 31, 2006, page 3, in Claim 20, line 23)

In column 27, line 46, in Claim 7, delete "motoring" and insert - - monitoring - -, therefor.  
(Amendment to claims filed on Oct. 31, 2006, page 9, in Claim 27, line 3)

In column 30, line 1, in Claim 12, delete "to" and insert - - the - -, therefor.  
(Amendment to claims filed on Oct. 31, 2006, page 13, in Claim 32, line 1)

In column 30, line 25, in Claim 13, delete "to" and insert - - the - -, therefor.  
(Amendment to claims filed on Oct. 31, 2006, page 13, in Claim 33, line 4)

In column 30, line 27, in Claim 13, delete "line;" and insert - - line, - -, therefor.  
(Amendment to claims filed on Oct. 31, 2006, page 13, in Claim 33, line 4)

The requested corrections are attached on Form PTO 1050.

Respectfully Submitted

3/27/2009

DATE

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**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

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PATENT NO : 7,200,569  
APPLICATION NO. : 09/995,318  
ISSUE DATE : Apr. 03, 2007  
INVENTOR(S) : Robert J. Gallagher, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the face page, in field (75), in "Inventors", in column 1, lines 2-3, delete "Co. Spgs," and insert - - Colorado Springs - -, therefor.

On the face page, in field (75), in "Inventors", in column 1, line 5, delete "Arviso," and insert - - Arvizo, - -, therefor.

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In column 30, line 25, in Claim 13, delete "to" and insert - - the - -, therefor.

In column 30, line 27, in Claim 13, delete "line;" and insert - - line, - -, therefor.

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

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This collection of information is required by 37 CFR 1.322, and 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*